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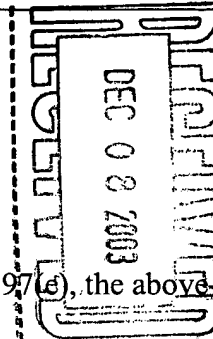
Applicant: McGill et al. :
Serial No.: 09/857,496 :
Filed: June 5, 2001 :
For: Derivative Securities And :
System for Trading Same :

Examiner: Not yet known
Group No.: 3621
Attorney Docket No.: 053775-5001

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:



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In accordance with 37 C.F.R. § 1.97(e), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicant hereby states that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. Applicant encloses herewith the requisite fee (\$180.00) as set forth in 37 C.F.R. § 1.17(p). In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO/SB/08A (1 sheet) have been provided.

* * * * *
CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

Name Daniel H. Golub

Signature *DHG*

Date of Deposit November 18, 2003

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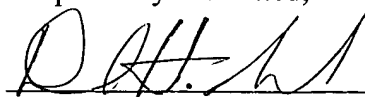
<u>U.S. Patent No.</u>	<u>Patentee</u>	<u>Issue Date</u>
6,263,321 B1	Daughtery, III	07/17/2001
5,819,237	Garman	10/06/1998
6,154,732	Tarbox	11/28/2000

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO/SB/08A with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,



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Dated: November 19, 2003

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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C mplete if Known

Application Number	09/857,496
Filing Date	June 5, 2001
First Named Inventor	Bradley J. McGill
Art Unit	3621
Examiner Name	Not yet known
Attorney Docket Number	053775-5001

U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A	US- 6,263,321 B1	07-17-2001	Daughtery, III	
	B	US- 5,819,237	10-06-1998	Garman	
	C	US- 6,154,732	11-28-2000	Tarbox	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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